

Please type a plus sign (+) inside this box

Approved for use through 10/31/2002 OMB 0651-0032  
U S Patent and Trademark Office U S DEPARTMENT OF COMMERCE

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 80398P379

First Inventor Koji Kimura

Title Automatic Frequency Control System Adpated to Compensate for an

Express Mail Label No. EL466329380US

J1040 U.S. PTO  
09/01/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:  
Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 18]  
*(preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
5. Oath or Declaration [Total Pages 4]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6.  Application Data Sheet. See 37 CFR 1.76
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 C.F.R. § 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*
11.  English Translation Document (*if applicable*)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: *Certificate of mailing by express mail.....*

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation     Divisional     Continuation-in-part (CIP)    of prior application No: \_\_\_\_\_

Prior application Information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**18. CORRESPONDENCE ADDRESS**

08791

PATENT TRADEMARK OFFICE  
(Insert Customer No. or Attach bar code label here)or  Correspondence address below Customer Number of Bar Code Label

|         |           |          |  |
|---------|-----------|----------|--|
| Name    |           |          |  |
| Address |           |          |  |
| City    | State     | Zip Code |  |
| Country | Telephone | Fax      |  |

|                   |               |                                   |        |
|-------------------|---------------|-----------------------------------|--------|
| Name (Print/Type) | Samuel S. Lee | Registration No. (Attorney/Agent) | 42,791 |
| Signature         |               |                                   |        |
|                   | Date 08/01/01 |                                   |        |

Burden Hour Statement This form is estimated to take 0.2 hours to complete Time will vary depending upon the needs of the individual case Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U S Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$)

948.00

## Complete if Known

|                      |             |
|----------------------|-------------|
| Application Number   |             |
| Filing Date          |             |
| First Named Inventor | Koji Kimura |
| Examiner Name        |             |
| Group/Art Unit       |             |
| Attorney Docket No.  | 80398P379   |

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number **02-2666**Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP** Charge Any Additional Fee(s) Required  
Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20 Applicant claims small entity status  
See 37 CFR 1.27

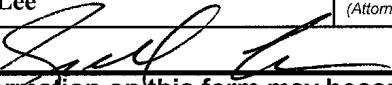
## FEE CALCULATION (continued)

## 3. ADDITIONAL FEE

| Large Entity                       | Small Entity | Fee Description   | Fee Paid  |
|------------------------------------|--------------|-------------------|---|
| Fee Code                           | Fee (\$)     | Fee Code          | Fee (\$)  |
| 105                                | 130          | 205               | 65 Surcharge - late filing fee or oath  |
| 127                                | 50           | 227               | 25 Surcharge - late provisional filing fee or cover sheet.                    |
| 139                                | 130          | 139               | 130 Non-English specification   |
| 147                                | 2,520        | 147               | 2,520 For filing a request for reexamination                                  |
| 112                                | 920*         | 112               | 920*Requesting publication of SIR prior to Examiner action                    |
| 113                                | 1,840*       | 113               | 1,840*Requesting publication of SIR after Examiner action                     |
| 115                                | 110          | 215               | 55 Extension for response within first month                                  |
| 116                                | 390          | 216               | 195 Extension for response within second month                                |
| 117                                | 890          | 217               | 445 Extension for response within third month                                 |
| 118                                | 1,390        | 218               | 695 Extension for response within fourth month                                |
| 128                                | 1,890        | 228               | 945 Extension for response within fifth month                                 |
| 119                                | 310          | 219               | 155 Notice of Appeal  |
| 120                                | 310          | 220               | 155 Filing a brief in support of an appeal                                    |
| 121                                | 270          | 221               | 135 Request for oral hearing  |
| 138                                | 1,510        | 138               | 1,510 Petition to institute a public use proceeding                           |
| 140                                | 110          | 240               | 55 Petition to revive - unavoidable   |
| 141                                | 1,240        | 241               | 620 Petition to revive - unintentional  |
| 142                                | 1,240        | 242               | 620 Utility issue fee (or reissue)  |
| 143                                | 440          | 243               | 220 Design issue fee  |
| 144                                | 600          | 244               | 300 Plant issue fee   |
| 122                                | 130          | 122               | 130 Petitions to the Commissioner   |
| 123                                | 50           | 123               | 50 Petitions related to provisional applications                              |
| 126                                | 180          | 126               | 180 Submission of Information Disclosure Stmt                                 |
| 581                                | 40           | 581               | 40 Recording each patent assignment per property (times number of properties) |
| 146                                | 710          | 246               | 355 Filing a submission after final rejection (37 CFR § 1.129(a))             |
| 149                                | 710          | 249               | 355 For each additional invention to be examined (37 CFR § 1.129(b))          |
| 179                                | 710          | 279               | 355 Request for Continued Examination (RCE)                                   |
| 169                                | 900          | 169               | 900 Request for expedited examination of a design application                 |
| Other fee (specify)                |              |                   |   |
| Other fee (specify)                |              |                   |   |
| * Reduced by Basic Filing Fee Paid |              | SUBTOTAL (3) (\$) |   |
|                                    |              | 40.00             |   |

## SUBMITTED BY

## Complete (if applicable)

|                   |   |                                      |        |           |                |
|-------------------|---|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Samuel S. Lee   | Registration No.<br>(Attorney/Agent) | 42,791 | Telephone | (858) 457-0022 |
| Signature         |  |                                      |        | Date      | 08/01/01       |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.**

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231